

PTO/SB/81 (06-03)

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ADDRESS INDICATION FORM**

Application Number	10/087,065
Filing Date	2/27/2002
First Named Inventor	Sass
Art Unit	3731
Examiner Name	Ho, Uyen T.
Attorney Docket Number	785-011005-US (C01)

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TECHNOLOGY CENTER R3700

I hereby appoint:

- ☒ Practitioners at Customer Number 2512
OR
☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

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OR
☐ The address associated with Customer Number:
OR

☒ Firm or
Individual Name

Phytis Medical Devices GmbH

Address

Wiesenweg 9, D-12247 Berlin, GERMANY

Address

City

Berlin

State

Zip

Country

GERMANY

Telephone

+49-30-7688870

Fax

+49-30-76888740

I am the:

- ☐ Applicant/Inventor.
☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name

X Björn Sellert

Signature

X

Date

X 2003-12-10

Telephone

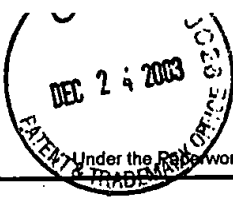
X +49-30-768 8870

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending on the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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STATEMENT UNDER 37 CFR 3.73(b)

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Applicant/Patent Owner: Norbert Sass

Application No./Patent No.: 10/087,065 Filed/Issue Date: 2/27/2002

Entitled: **METHOD AND INTRAVASCULAR STENT FOR REDUCING COMPLICATIONS AFTER IMPLANTATION OF AN INTRAVASCULAR STENT**

Phytis Medical Devices GmbH, a German Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United Patent States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

X 2003-12-10
Date

Telephone number

X Björn Sellert
Typed or printed name

X
Signature
X Managing Director
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETE D FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Packet No.: 785-011005-US (PAR), (C01)

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Norbert Sass

2. Name and address of receiving party(ies):

Name: Phytis Medical Devices GmbH

Address: Wiesenweg 9, 12247

City: Berlin

State/Prov.: _____

Country: Germany

ZIP: _____

Additional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other _____

Execution Date: November 28, 2003

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

Patent Application No.

Filing date

B. Patent No.(s)

10/087,065

02/27/02

6,383,215

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Geza C. Ziegler, Jr.

Registration No. 44,004

Address: Customer No. 2512

6. Total number of applications and patents involved: 2

7. Total fee (37 CFR 3.41):.....\$ 80.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

16-1350

City: _____ State/Prov.: _____

Country: _____ ZIP: _____

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Geza C. Ziegler, Jr.

Name of Person Signing

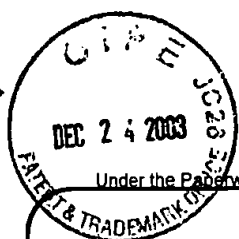
Signature

December 9, 2003

Date

Total number of pages including cover sheet, attachments, and document: 3

3731



PTO/SB/21 (08-03)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

6

Application Number

10/087,065

Filing Date

02/27/2002

First Named Inventor

Sass

Art Unit

3731

Examiner Name

Ho, Uyen T.

Attorney Docket Number

785-011005-US(CO1)

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ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment/Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☒ Power of Attorney, Revocation
- ☐ Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance communication to Technology Center (TC)
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☐ Other Enclosure(s) (please identify below):

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Perman & Green, LLP. Customer No. 2512
Geza C. Ziegler Jr.

Signature

Geza Ziegler Jr. REG #44004 203 259-1800 x 134

Date

December 22, 2003

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name

Suzanne Foley

Signature

Suzanne Foley

Date

December 22, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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ASSIGNMENT

WHEREAS I, Norbert Sass, a citizen of Germany, and resident of Stade, Germany, have
Invented a certain new and useful

“Method and Intravascular Stent for Reducing Complications After
Implantation of an Intravascular Stent”

Described and claimed in U.S. Letters Patent No. 6,383,215, issued May 7, 2002 and in pending
application for United States Letter Patent Serial No. 10/087,065, filed February 27, 2002;

WHEREAS, Phytis Medical Devices GmbH, a German corporation, having offices at
Wiesenweg 9, 12247 Berlin, Germany, is desirous of acquiring the entire right, title, and interest in
and to said invention, said U.S. Letters Patent No. 6,383,215, said application for United States
Letters Patent Serial No. 10/087,067, and any Letters Patent which may be granted on said
application;

NOW, THEREFORE, for and in consideration of One Dollar (\$1.00) and of other good and
valuable consideration, receipt of which is hereby acknowledged, I, Norbert Sass, by these presents
do sell, assign, and transfer to the said Phytis Medical Devices GmbH, my entire right, title and
interest in and to the said invention for the United States of America and its territories and
possessions, and in and to the said Letters Patent and the right to recover for any past infringement
of said Letters Patent, and in and to the said application for the patent, including any divisional,
substitute, or continuation application which may grow out of or replace the said application for
patent, in and to any Letters Patent issuing from the said application or applications, and in and to
any reissues or extensions of said Letters patents, and in and to all rights of priority thereto pursuant
to the International Convention for the Protection of Industrial Property, the invention, application
or applications, Letters Patents, rights to recover for past infringement, and any reissues or
extensions thereof to be held and enjoyed by the said Phytis Medical Devices GmbH for its own use

and behoof and for its legal representatives, successors, or assigns, to the full end of the term or terms for which said Letters Patents and any reissues or extensions thereof may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

And I covenant and agree that, at the request of the said Phytis Medical Devices GmbH, its legal representatives, successors, or assigns, I will, without demanding any further consideration therefor and without expense to me, do all lawful and just acts, including the execution and acknowledgement of instruments which may be or may become necessary for obtaining, sustaining, reissuing or extending said Letters Patents, and for maintaining and perfecting the said Phytis Medical Devices GmbH's right to the invention and the Letters Patents and will testify in cases of Interference and litigation when requested to do so by the said Phytis Medical Devices GmbH.

Executed this 28 day of November, 2003

A handwritten signature in dark ink, appearing to read 'Norbert Sass', written over a horizontal line.

Norbert Sass